



新宏域證券

NEW HORIZON SECURITIES

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交收指示(證券/投資產品) Settlement Instructions (Securities/Investment Products)

請將已填妥之表格郵寄或電郵至 admin@xhyinghk.com

Please return the completed form by post (Attn: Operations Department) or fax to (852) 8148 6118 or email to settlement@bocomgroup.com

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|--|--|---|-----------------------------|
| 客戶號碼 Account Number | | | |
| 客戶名稱 Account Name | | | |
| 請根據下述指示將本人/吾等證券/投資產品接收/轉出到本人/吾等上述/其他公司賬戶 Please take my/our instruction transfer Securities/other products from/ to my/our designated account. | | | |
| <input type="checkbox"/> 收取 Receive <input type="checkbox"/> 提出 Deliver | | | |
| <input type="checkbox"/> 無需付款 Free of Payment <input type="checkbox"/> 貨銀對付 Delivery Against Payment **只適用於香港市場 / For H.K. Market only** | | | |
| Money Consideration for (DVP/RVP) 港幣 HKD: _____ | | | |
| 交收日期 Settlement Date: _____ 如無列明日期, 指示將於收到日後兩個工作天內處理 Instruction will be proceeded within 2 working days if the date is not specified | | | |
| 交收對手名稱: Counterparty Name: _____ | | 中央結算參與者編號 / 結算編號: CCASS Participant ID / Clearing No. / Euroclear No.: _____ | |
| 交收對手聯絡人: Counterparty Contact Person: _____ | | 電話: Tel. No.: _____ | |
| 客戶在交收對手之賬戶號碼 Client Account No. in Counterparty: _____ | | | |
| 股票 / 投資產品名稱 Name of Stock / Investment Product | 股票號碼 / ISIN 號碼 Stock Code / ISIN Code | 數量 Quantity | 公司專用 For office use only |
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備註 Remarks:

- 香港股票市場之交收指示提取費用按每隻股票上日收市市值之 0.1% 計算, 每隻股票最低收費為港幣1000元及手續費為每支股票港幣100 元。海外市場/其它產品之收費請另作查詢。SI withdrawal fee for H.K. market will be charged at 0.1% of stock value (based on previous closing price); Minimum HK\$1,000 per stock and handling fee HK\$100 per stock. Please enquiry about withdrawal fee for foreign stocks/other products.
- 海外市場及投資產品或會因市場限制不被接受轉倉, 請與客戶經理查詢。Transfer of Foreign shares/Investment products may be rejected due to the market restrictions, please contact your Account Executive for more details.

本人/吾等確認上述股票受益人身份並沒有因進行以上交易指示而改變。I/ We confirm that there is no change of beneficial ownership involved in above transfer.

客戶簽署 Signature(s): _____

日期 Date: _____

| For office use only 公司專用 | | | |
|--------------------------|----------|------------|----|
| S.V. by | Input by | Checked by | FO |
| | | | |